

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

In the Matter of the Arbitration Between  
CREDIT SUISSE SECURITIES (USA) LLC,

Petitioner,

-against-

WILLIAM LARRY RICHMOND,

Respondent.

Case No.: 15 Civ. 3341 (VEC)

**RULE 7.1 DISCLOSURE**  
**STATEMENT**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel for petitioner Credit Suisse Securities (USA) LLC states that Credit Suisse Securities (USA) LLC is a wholly owned subsidiary of Credit Suisse (USA), Inc., which in turn is a wholly owned subsidiary of Credit Suisse Holdings (USA), Inc. Credit Suisse Holdings (USA), Inc. is jointly owned by Credit Suisse AG and Credit Suisse Group AG. Credit Suisse AG is a wholly owned subsidiary of Credit Suisse Group AG. The shares of Credit Suisse Group AG are publicly traded on the Swiss Stock Exchange, and are also listed on the New York Stock Exchange in the form of American Depositary Shares.

Dated: New York, New York  
June 5, 2015

DEWEY PEGNO & KRAMARSKY LLP

By: /s/ David C. Marden

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